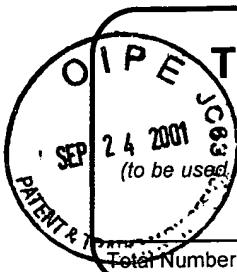


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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

7

Application Number

09/870,944

Filing Date

June 1, 2001

First Named Inventor

Michael I. Catherwood

Group Art Unit

2171

Examiner Name

Total Number of Pages in This Submission

7

Attorney Docket Number

18153.0040

ENCLOSURES (check all that apply)

 Fee Transmittal Form Fee Attached Amendment / Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 Statement Claiming Small Entity Status Drawing(s) Licensing-related Papers Petition Routing Slip (PTO/SB/69) and Accompanying Petition Petition to Convert to a Provisional Application Power of Attorney of Assignee Terminal Disclaimer Request for Refund CD, Number of CD(s) After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below):

Declaration, Copy of Notice to File Missing Parts of Non-Provisional Application

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

John P. Moran, RN 30,906
Swidler Berlin Shereff Friedman, LLP

Signature

Date

September 24, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

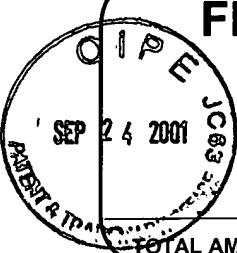
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FEE TRANSMITTAL for FY 2001

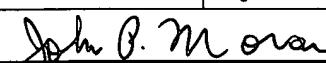
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 840)

Complete if Known	
Application Number	09/870,944
Filing Date	June 1, 2001
First Named Inventor	Michael I. Catherwood
Examiner Name	
Group / Art Unit	2171
Attorney Docket No.	18153.0040

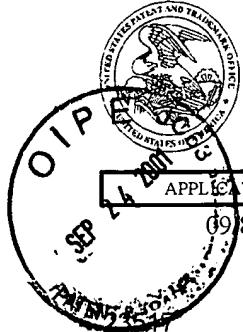
METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)				
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:					3. ADDITIONAL FEES				
Deposit Account Number		195127, Order # 18153.0040			Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
Deposit Account Name		Swidler Berlin Shreff Friedman, LLP			105	130	205	65 Surcharge - late filing fee or oath	130
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27					127	50	227	25 Surcharge - late provisional filing fee or cover sheet.	
2. <input type="checkbox"/> Payment Enclosed:					139	130	139	130 Non-English specification	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other					147	2,520	147	2,520 For filing a request for reexamination	
					112	920*	112	920* Requesting publication of SIR prior to Examiner action	
					113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
					115	110	215	55 Extension for reply within first month	
					116	390	216	195 Extension for reply within second month	
					117	890	217	445 Extension for reply within third month	
					118	1,390	218	695 Extension for reply within fourth month	
					128	1,890	228	945 Extension for reply within fifth month	
					119	310	219	155 Notice of Appeal	
					120	310	220	155 Filing a brief in support of an appeal	
					121	270	221	135 Request for oral hearing	
					138	1,510	138	1,510 Petition to institute a public use proceeding	
					140	110	240	55 Petition to revive - unavoidable	
					141	1,240	241	620 Petition to revive - unintentional	
					142	1,240	242	620 Utility issue fee (or reissue)	
					143	440	243	220 Design issue fee	
					144	600	244	300 Plant issue fee	
					122	130	122	130 Petitions to the Commissioner	
					123	130	123	130 Petitions related to provisional applications	
					126	180	126	180 Submission of Information Disclosure Stmt	
					581	40	581	40 Recording each patent assignment per property (times number of properties)	
					146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))	
					149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))	
					179	710	279	355 Request for Continued Examination (RCE)	
					169	900	169	900 Request for expedited examination of a design application	
SUBTOTAL (1) (\$ 710)					Other fee (specify) _____				
2. EXTRA CLAIM FEES					*Reduced by Basic Filing Fee Paid				
Total Claims 7 -20** = 0 X 18 = 0 Independent Claims 2 -3** = 0 X 80 = 0 Multiple Dependent					SUBTOTAL (3) (\$ 130)				
Large Entity Fee (\$) Fee Code 103 18 203 9 102 80 202 40 104 270 204 135 109 80 209 40 110 18 210 9					Entity Fee (\$) Fee Description Claims in excess of 20 Independent claims in excess of 3 Multiple dependent claim, if not paid ** Reissue independent claims over original patent ** Reissue claims in excess of 20 and over original patent				
SUBTOTAL (2) (\$ 0)									

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	John P. Mroan	Registration No. Attorney/Agent)	30,906	Telephone	202 424-7500
Signature				Date	September 24, 2001

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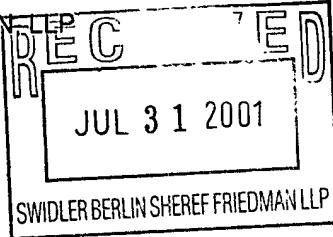
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/870,944	06/01/2001	Michael I. Catherwood	18153.0040

SWIDLER BERLIN SHEREFF FRIEDMAN LLP
3000 K STREET, NW
BOX IP
WASHINGTON, DC 20007



CONFIRMATION NO. 8704

FORMALITIES LETTER



OC00000006360149

Date Mailed: 07/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/25/2001 WABRHAM1 00000038 195127 09870944

01 FC:101 710.00 CH
02 FC:105 130.00 CH

DOCKETED 9/31/01

Response Due 9.30.01
Last Day 2.28.02